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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/767,878	01/28/2004	Naoki Asada	60717 (70904)	4109

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Edwards & Angell, LLP  
Intellectual Property Practice Group  
P.O. Box 55874  
Boston, MA 02205

EXAMINER
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GYORFI, THOMAS A

ART UNIT	PAPER NUMBER
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2135

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	04/19/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

**Office Action Summary**

Application No.

10/767,878

Applicant(s)

ASADA ET AL.

Examiner

Tom Gyorfi

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-22 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-22 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date 4/19/04 and 4/7/06.

- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_.

### **DETAILED ACTION**

1. Claims 1-22 are pending examination.

#### ***Information Disclosure Statement***

2. The information disclosure statement (IDS) submitted on 4/19/04 and 4/7/06 are in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statements are being considered by the examiner.

#### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1-3, 6-13, and 17-22 are rejected under 35 U.S.C. 102(b) as being anticipated by Burns et al. (U.S. Patent 6,405,315).

Regarding claims 1 and 18:

Burns discloses an electronic device network system comprising: an electronic device for transmitting data via a network (a network client, col. 5, lines 55-60); a plurality of storing means for storing data transmitted from the electronic device (the storage devices, Ibid); a plurality of external devices for acquiring data from the storing means and processing the acquired data (other network clients, Ibid and Figure 1); the

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network connecting the storing means, the electronic device, and the external devices to one another (Ibid, and Figs. 1 & 2), wherein the electronic device, at least one of the plurality of storing means, and at least one of the external devices each have a security function (col. 5, lines 25-45). Per claim 18, Burns also discloses said method searching for a storing means and an external device whose respective security functions match a security level set by a user, when the electronic device transmits data (Figure 7).

Regarding claim 17:

Burns discloses a data receiver search system comprising: a plurality of storing means with different security levels for storing data (col. 5, lines 55-60); a plurality of external devices for acquiring data from the storing means and processing the acquired data (other network clients, Ibid); an electronic device connected to the storing means and the external devices via a network (the owner's network client, Ibid); and a search device, connected to the electronic device, for searching for a storing means that satisfies predetermined conditions (Figure 7); the electronic device including: a transmission section for transmitting data to the storing means (col. 3, lines 10-25); a setting section for enabling a user to set a security level for transmitted data (Ibid), the search device including a search section for a storing means according to the security level set in the setting section, so that the transmitted data is received by the storing means so searched (Details on selected File Operation Parameters: col. 12, line 52 – col. 13, line 35).

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Regarding claim 2:

Burns further discloses wherein the plurality of storing means includes a first storing means having a higher security level, and a second storing means having a lower security level (col. 13, lines 5-15); the electronic device includes a setting section for enabling a user to set a security level for transmitted data (the "chmod" command inherent to Unix: col. 9, lines 20-25), and the electronic device network system further comprising a search means for searching a storing means according to the security level set in the setting section, so that the transmitted data is received by the storing means so searched (col. 10, lines 20-50).

Regarding claim 3:

Burns further discloses wherein the first storing means transmits data by encrypting the data (col. 5, lines 25-45), and the second storing means transmits data without encrypting the data (col. 2, lines 4-27).

Regarding claim 6:

Burns further discloses wherein the electronic device, at least one of the plurality of storing means, and at least one of the external devices each have a communications function for encrypted data (col. 5, lines 25-45).

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Regarding claim 7:

Burns further discloses search means for searching an electronic device, a storing means, and an external device according to security levels of the respective security functions of the electronic device, at least one of the plurality of storing means, and at least one of the plurality of external devices (col. 10, lines 20-50; Figure 7).

Regarding claims 8 and 19:

Burns further discloses search means for searching for an external device according to locations or function of the external devices (Ibid).

Regarding claims 9, 10, and 20:

Burns further discloses wherein the search means searches for a transmission route of the transmitted data from the electronic device to the storing means or external device (Ibid, and also col. 5, lines 25-45).

Regarding claim 11:

Burns further discloses wherein the external devices each include a search section for searching for a storing means whose security level matches a security level of an external device making the search (col. 10, lines 20-50).

Regarding claim 12:

Burns further discloses wherein the electronic device includes a displaying means for displaying a result of search made by a search means according to search conditions (e.g. the "ls" command inherent to Unix-based systems: col. 9, lines 20-25).

Regarding claim 13:

Burns further discloses wherein the respective security functions of the electronic device, at least one of the plurality of storing means, and at least one of the plurality of external devices are rendered depending on whether the electronic device, the storing means, and the external devices belong to which of a plurality of networks that are connected to one another via access control means (col. 10, lines 20-50).

Regarding claim 21:

Burns further discloses wherein the method prohibits transmission of data to the electronic device, the storing means, and the external devices when the respective security levels of the electronic device, the storing means, and the external devices do not match the security level set by the user (the permissions of col. 13, lines 1-35)

Regarding claim 22:

Burns further discloses wherein when stored data in a storing means needs to be outputted from an external device but an external device and a storing means have different security levels so that the data is prevented from being transmitted from the

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storing means to the external device, the search means searches for an external device whose security level matches the security level of the storing means storing the necessary data (col. 10, lines 20-50; col. 13, lines 1-35).

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 4, 5, and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Burns.

Regarding claim 5:

Burns discloses wherein each of the storing means can either be on a local area network [i.e. not having access to the Internet] or other network means (col. 5, lines 5-10). Additionally, the prior art technologies that Burns discloses as being analogous to that invention (e.g. NFS, col. 2, lines 5-20) were capable of being connected to the Internet (cf. "more NFS over TCP stuff" reference). Accordingly, it would have been obvious to one of ordinary skill in the art to construe the "other network types" as the Internet itself, as Burns discloses that there existed a need for the ability to share data remotely in an identical manner to how one would access it locally (col. 1, lines 20-30).



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Regarding claim 4:

The rationale for rejection of claim 5 also applies to the rejection of claim 4. Furthermore, one of ordinary skill in the art at the time the invention was made would have known to use a firewall to limit access to devices on the Internet, particularly as firewalls are designed to implement access control policy (see page 4 of the enclosed "Firewalls FAQ"; cf. Burns, col. 13, lines 5 and 33)

Regarding claim 14:

Examiner takes Official Notice that the computers used in the Burns system would have a monitor or other visual display means, which would make them an "image forming device" under the broadest possible definition in the art.

7. Claims 15 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Burns as applied to claims 1 and 14 above, and further in view of Tomat (U.S. Patent 6,459,499).

Regarding claims 15 and 16:

Burns discloses or suggests all the limitations of claims 1 and 14 above. Burns does not disclose that the electronic device is a scanner; however, Tomat discloses that scanners were capable of transmitting data via a network (col. 2, lines 33-45). It would have been obvious to one of ordinary skill in the art at the time the invention was made to use a scanner as the electronic device to transmit data via a network to the

distributed storage of Burns. The motivation for doing so would be to make it easy for a user to send scanned images to remote systems with a minimum of user intervention (col. 2, lines 5-25).

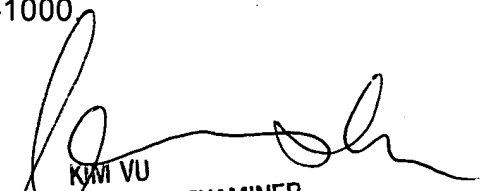
### **Conclusion**

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tom Gyorfi whose telephone number is (571) 272-3849. The examiner can normally be reached on 8:30am - 5:00pm Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Vu can be reached on (571) 272-3859. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

TAG  
4/2/07

  
KIM VU  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100